#### **CHAPTER I**

### INTRODUCTION

# A. Background of the Study

We have a lot of things to do during our life in society. Then, to realize all of those things we have to have a lot of tools to help us during the process. As a human, we always have a desire to make our dreams come true. In order to make it true, we have to give our effort as well as possible and get other things that can help us to reach our dreams. One of them is power. Power is possession of control, authority, or influence over others (Merriam Webster Incorporated). Power is important for everyone, without power we will be so weak and we tend to cannot survive with our dreams.

On the other hand, Robert Dahl said that power is an ability that can force someone else to do something that they do not want to do (Murphy 88). When we have power, it means that we get a bigger chance to create or do something that we want to do, and at the same time, we also have the authority to force someone else to obey our instructions. This situation can make someone in a strong position. Webber said that power is an opportunity for someone to force their will to someone else (Lloyd 4). Based on Webber's theory, power is something that can make other people have been under control by someone. It means when we are powerful, we will have a right to control the people. Moreover, Morriss stated that power is the ability to realize someone's aims that can create a causal effect or influence (Murphy 88). Once again the power shows its very wonderful benefits. That is the special thing from power, with

power we can be a strong person and have a strong position. This situation can be a beginning of the problem that is related to the power itself. With those advantages, power should be controlled wisely. That is the reason why many people try to have this kind of thing. When someone has already got the power, they tend to keep it as well as possible. They try to maintain their authority as long as possible to feel the happiness of ruling the others. The problem appears when power is just totally controlled by one or a few people.

Power can be seen in many figures, one of them is a dictatorship. Dictatorship is often defined as a system that contains non-democracy attributes (Gandhi 2). Dictatorship exists in this world and actually begins in ancient Rome. This term was used for someone who was chosen by the consul to be a leader in a crucial period when the nation was under the rebellion. On the other hand, Oxford dictionary defines the term Dictatorship as a country that was ruled by a dictator (Oxford University). Most of the interesting forms of the government are democracy, on the other hand many people tend to see non-democracy which contains dictatorship as a negative system. Gandhi argued that dictatorship is the political system that the leader was not chosen by competitive election (Liden 52). Because of this system, Dictatorship often appears as inappropriate to use in the modern era.

Numerous characteristics of power and how dictatorship can affect the people are really interesting topic to discuss. This is why the author choose dictatorship as the topic of this study. We have to be concerned with this topic because if the people are still being ignorant to discuss how power that reflected

in dictatorship can affect social life, it will be horrible. Based on what had been discussed above, we know that authority can abuse power if they cannot manage their authority wisely, and at the same time, the people still have no interest in paying attention to this problem.

There have been several studies related to *Animal Farm* novel, most of the study discuss about dictatorship and authoritarian regime. The first study is "Dictatorship of the Proletariat in George Orwell's *Animal Farm* Novel (1945): A Marxist Analysis" by Aldino Hartan Putra. He discusses dictatorship proletariat based on Karl Marx theory, which is talking about social class struggle between Bourgeois and Proletarian. The second study is "The Portrayal of Totalitarianism in George Orwell's *Animal Farm*" written by Fuad Khoirul Umam. He talks about how totalitarianism is portrayed in all of the characters in the novel.

However, in this final project, the writer pays attention to different research focuses and uses different analytical method from those previous studies. First, instead of using Marxist theory, the writer uses Niccolo Machiavelli's political theory and Jennifer Gandhi's dictatorship theory. Second, the writer focuses not only on how dictatorship is portrayed in the novel, but also discusses the effects of dictatorship. Finally, the writer limits the focus only on what Napoleon did in his regime.

As a non-democracy system, dictatorship has its own consequences. When a country decides to implement dictatorship, every person in that country must be ready for the effect it will bring to their daily life. There are some consequences that will be caused by dictatorship, such as: violence and the absence of human

rights. This situation can be a reason for scholars to categorize dictatorship as the opposite of democracy. As Jennifer Gandhi stated that today, people always see dictatorship as a system where democracy does not exist (Gandhi 3). Moreover, Gustav Liden said, "In dictatorships, there are methods other than competitive election used for distributing political power, and in such Societies, the political and civil rights of individuals are frequently violated" (Liden 53).

The effect of dictatorship can be seen in the novel *Animal Farm* that was written by George Orwell. By using Allegory, *Animal Farm* was started with the description of revolution that happened in the manor farm that had been changed to Animal Farm. There are three characters as the leaders of the animals. They are pigs named Snowball, Napoleon, and Squearel. Napoleon and Snowball are dominant to lead the comrades in Animal Farm.

The goals of the revolution at the farm itself is actually to create freedom and prosperity to the animals, unfortunately, both Snowball and Napoleon often get into a debate for making a decision. They try to get the vote from the other animals with their own plan and argument. Try to defeat each other for winning the hegemony and bring influence to the animals.

In fact, Napoleon used unfriendly ways to reach his target, to be a leader and force Snowball to run away. Automatically, Napoleon became a leader in Animal Farm. In the beginning, Napoleon governed the farm really well, but it is not longer. Slowly, he tends to become a dictator. Here the problems come to the people in Animal Farm. Napoleon tried to separate himself from the other animals, change the rules, and manipulate many things.

This situation gives so many effects on society, and nowadays there are still many cases of dictatorship that happen in the middle of society and have to be solved. This work will discuss the effect of dictatorship that portrayed the characters Napoleon in the novel *Animal Farm* written by George Orwell.

Animal Farm as an allegory of animals' life in the farm that represents human activities shows that literary works can be able to be a medium for the student to see wider perspectives, such as: learn about the other political system, even ideology that exist in other countries and histories around the world. The literature student can see how dictatorship, oppression and abuse of power as a result of Orwell's experience is portrayed in the novel. The writer believes that through a careful examination of George Orwell's Animal Farm, literature students can take the value from what had happened in history, even though they do not experience that era.

### **B.** Limitation of the Study

The study focuses on dictatorship reflected in Napoleon, also how dictatorship gives some effects to the characters in the *Animal Farm* novel written by George Orwell.

### C. Problem Formulation

The problems that the study wants to discuss are about:

- 1. How is dictatorship portrayed in Napoleon in the *Animal Farm* novel?
- 2. What effects does dictatorship give to the characters in the *Animal Farm* novel?

## **D.** Objective of the Study

The objective of the study are:

- 1. To identify the phenomenon of dictatorship that happens in social life that appears in the *Animal Farm* novel.
- 2. To identify the effects of dictatorship to the characters in the *Animal Farm* novel written by George Orwell.

### E. Significance of the Study

There are some expectations from the writer about this study:

- 1. The study about the effect of dictatorship reflected in the *Animal Farm* novel will show literature students that literature can be understood as a reflection of culture and what happened in a certain period in the past. As massive oppression and abuse of power appear in the novel *Animal Farm* written by George Orwell.
- 2. The readers know about how dangerous dictatorship is, it is when an authority has no opposition, and people have no rights to speak their thoughts up.

## F. Outline of the Study

This study divided into five chapters, the first chapter is introduction which contains the Background of the Study, Limitation of the Study, Problem Formulation, Objectives of the Study, Significance of the Study and Outline of the Study. The next chapter is Review of Related Literature. It starts with the synopsis of the novel. This chapter also consists of the theory that will be used in the study. The third chapter discusses the Research Method; this chapter contains Types of

the Data, Data Collecting Method, and Analyzing the Data. Chapter four brings the Discussion and Findings of the Study, and the last chapter will contain a Conclusion and Suggestion for the next research dealings with the novel.